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February 11, 2004

WRITER'S DIRECT NUMBER: (202) 772-8641 INTERNET ADDRESS: HCARLSON@SKGF.COM

Art Unit 1644

Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

Re:

U.S. Utility Patent Application

Application No. 09/458,298; Filed: December 10, 1999

For: Inducing Cellular Immune Responses to MAGE 2/3 Using Peptide

and Nucleic Acid Compositions

Inventors:

FIKES et al.

Our Ref:

2060.0130000/HCC/PAC

Sir:

Transmitted herewith for appropriate action are the following documents:

- 1. Preliminary Amendment Under 37 C.F.R. § 1.115 and Submission Of Substitute Sequence Listing Under 37 C.F.R. § 1.825(a);
- 2. Paper copy of Substitute Sequence Listing (510 pages)
- 3. A computer readable copy of the Substitute Sequence Listing; and
- 4. One (1) Return postcard.

It is respectfully requested that the attached postcard be stamped with the date of filing of these documents, and that it be returned to our courier. In the event that extensions of time are necessary to prevent abandonment of this patent application, then such extensions of time are hereby petitioned.

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Commissioner for Patents February 11, 2004 Page 2

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

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Helene C. Carlson

Agent for Applicants Registration No. 47,473

HCC/PAC/M-M/lvt 229235_1.DOC

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

n re application of:

FIKES et al.

Appl. No.: 09/458,298

Filed: December 10, 1999

For: Inducing Cellular Immune
Responses to MAGE 2/3 Using

Peptide and Nucleic Acid

Compositions

Confirmation No. 8697

Art Unit: 1644

Examiner: Schwadron, R.

Atty. Docket: 2060.0130000/HCC/PAC

Preliminary Amendment Under 37 C.F.R. § 1.115 and Submission Of Substitute Sequence Listing Under 37 C.F.R. § 1.825(a)

Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

Sir:

In advance of prosecution, Applicants submit the following amendments and remarks. This Preliminary Amendment is provided in the following format:

- (A) Each section begins on a separate sheet;
- (B) Starting on a separate sheet, amendments to the specification by presenting replacement paragraphs marked up to show changes made;
- (C) Starting on a separate sheet, a complete listing of all of the claims:
 - in ascending order;
 - with status identifiers; and
 - with markings in the currently amended claims;
- (D) Starting on a separate sheet, the Remarks.

It is not believed that extensions of time or fees for net addition of claims are required beyond those that may otherwise be provided for in documents accompanying this paper. However, if additional extensions of time are necessary to prevent

abandonment of this application, then such extensions of time are hereby petitioned under 37 C.F.R. § 1.136(a), and any fees required therefor (including fees for net addition of claims) are hereby authorized to be charged to our Deposit Account No. 19-0036.